San Joaquin County
Recovery Plan

State and Local Fiscal Recovery Funds

2021 Report

Note: The Recovery Plan Performance Report will provide the public and Treasury information on the projects that recipients are undertaking with program funding and how they are planning to ensure program outcomes are achieved in an effective, efficient, and equitable manner. While this template includes the minimum requirements for the Recovery Plan, each recipient is encouraged to add information to the plan that they feel is appropriate to provide information to their constituents on efforts they are taking to respond to the pandemic and promote an equitable economic recovery.

Each jurisdiction may determine the general form and content of the Recovery Plan, as long as it meets the reporting requirements, and recipients are encouraged to tailor this template to best meet their needs. Use of infographics, tables, charts, pictures, case studies, and other explanatory elements are encouraged.

San Joaquin County

2021 Recovery Plan

Table of Contents

<u>GENERAL OVERVIEW</u>

Executive Summary

No one could have predicted the devastation that the country is facing caused by the 2019 Novel Coronavirus (COVID-19) pandemic. San Joaquin County recognizes the tremendous impact the pandemic has had within our community. In March 2021, President Biden signed the American Rescue Plan Act (ARPA), which provides that counties may use funds to respond to the public health emergency or its negative economic impacts, including assistance to households, small businesses, and non-profits, or aid to impacted industries such as tourism, travel, and hospitality: Section 603(c)(1)(a). San Joaquin County will receive $148,038,314 in ARPA funding. Half of the allocation, $74,019,157, was received in May 2021.

San Joaquin County is committed to a transparent process that will address the negative impacts that COVID-19 has brought to the community. The work to structure San Joaquin County priorities and plans for use of ARPA funding began immediately in discussions with the County Board of Supervisors. At the recommendation of the County Administrator, the Board approved the County contracting with a consultant firm, Beacon Economics, to provide a roadmap for the economic recovery of the County. A report will be presented to the Board in October 2021. In addition, the Board recently approved use of ARPA funds in the amount of $44.0 million for projects that will benefit the community. More detail on programs is provided under the "Uses of Funds" category. Actual use of funds through July 31, 2021 was $222,500 to expand the County's Landlord Incentive Program to incentivize landlords to accept homeless veterans utilizing United States Department of Housing and Urban Development Veterans Affairs Supportive Housing program vouchers for a minimum of 30 households.

Uses of Funds

Landlord Incentives for Homeless Veterans – Through July 31, 2021, San Joaquin County has cumulative expenditures of $222,500 to expand the County's Landlord Incentive Program to incentivize landlords to accept homeless veterans utilizing United States Department of Housing and Urban Development Veterans Affairs Supportive Housing (HUD-VASH) program vouchers for a minimum of 30 households. Collaborating with the Housing Authority County of San Joaquin (HACSJ), funds will be provided for security deposits, unit repairs, utility assistance, rental application fees, vacancy loss coverage, and leasing incentive payment to HUD-VASH landlord participants to assist a minimum of 30 households in San Joaquin County. Additionally, HACSJ agrees to provide in-kind staff support in the form of a landlord liaison, a dedicated phone line set up to guarantee a response within one business day, online access to information related to inspections, Housing Assistance Payments and Contracts, two housing navigators to assist with eligibility, paperwork, support, assistance, and housing locator, and landlord workshops and training. This program directly supports category EC 3: *Services to Disproportionately Impacted Communities.*

Other programs recently approved (obligated) by the Board of Supervisors but not expended through July 31, 2021, include the Family COVID Assistance Grant program, (FCAG), the Small Business Assistance Grant program, and a Project Homekey match for affordable housing.

Family COVID Assistance Grant Program – Using CARES Act funds allocated to the County as a Prime Recipient, the County successfully implemented a first round of the Family COVID Assistance Grant Program to assist families who have experienced the negative financial impacts

of the pandemic. This program was over-subscribed and numerous applications could not be funded. With the use of ARPA funds, the County will be able to complete Round 1 and continue the grant for Round 2 to assist families with household incomes at or below 100% of the Area Median Income who have been negatively impacted by the COVID-19 pandemic. Up to $10,000 is available per family for past due (unpaid) rent, mortgage, and utilities, or reimbursement of paid rent, mortgage, and utilities during the period of financial harm due to the pandemic, with proper documentation. A total of $13.7 million of ARPA funds has been obligated for the FCAG program. This program provides funding support under category EC 2: *Negative Economic Impacts.*

Small Business Grant Program – Also in line with the successful program put in place using CARES Act funds, Round 4 of the Small Business Grant Program will launch on September 1, 2021. Utilizing $20.0 million of ARPA funds, this grant will provide small businesses (100 employees or less) with up to $100,000 for past due (unpaid) rent, mortgage and utilities, or reimbursement of paid rent, mortgage, utilities, and PPE during the period of financial harm due to the pandemic, with proper documentation. This program directly supports category EC 2: *Negative Economic Impacts.*

Residents can submit applications for both these programs through an online application portal that opens September 1, 2021 through September 30, 2021.

Project Homekey Match Funding – $10.0 million of ARPA funds have been obligated as a set aside for a required match of funding for a Homekey project. If San Joaquin County, partnering with the Housing Authority of the County of San Joaquin (HACSJ), is successful in the application process, the County's match will bring in $30.0 million to support the development of 200 new units of housing in the County for those experiencing homelessness. HACSJ will acquire the property, secure an operator, and commit rental assistance to support the project application and ongoing operation. This program directly supports category EC 3: *Services to Disproportionately Impacted Communities.*

Emergency Rental Assistance Program (ERAP) – San Joaquin County is also eligible for ERAP funds through Federal and State funding and attempted to administer the ERAP at the County level in the community. A total of $52.6 million is available for distribution to San Joaquin County residents through the ERAP. Working in collaboration with the County's Human Services Agency, the County received 795 completed applications resulting in $1.8 million in grants distributed. The proportionate number of applicants in the County ERAP versus the City of Stockton (largest city in County) ERAP is 86% - Stockton and 14% - County. In July 2021, the State Department of Housing and Community Services allowed participants to change options and have the State administer the Federal and State funds allocated to the County for the benefit of County residents. On August 24, 2021, the Board of Supervisors approved a Resolution authorizing the reassignment of administration for the ERAP to the State Department of Housing and Community Services.

Promoting equitable outcomes

The San Joaquin County Board of Supervisors and the County Administrator's Office have established programs that promote equity within the community. The focus remains on assisting those most vulnerable and underserved. For each of the programs, data will be collected to measure and support equity at various stages of each program.

To assist with the application process for the Family COVID Assistance Grant program, the County has contracted with a community-based organization, El Concilio, whose mission is "to empower the region's diverse low-income, minority and marginalized communities by providing critically needed comprehensive and compassionate programs and services". El Concilio will administer the program with continued oversight provided by the County.

As listed in the County's Use of Funds section, all programs implemented or obligated to date fall within two categories: *Negative Economic Impacts or Services to Disproportionately Impacted Communities.*

Once a full year of qualitative and quantitative data is collected, the outcomes should show equitable outcomes were realized, and any constraints or challenges impacting project success will be identified.

Community Engagement

Several ARPA funded programs within San Joaquin County have included input from community-based organizations. The County collaborated with the Housing Authority of the County of San Joaquin (HACSJ) on its Landlord Incentives for Homeless Veterans program and the Project Homekey Match Funding program. HACSJ provides and advocates for safe, affordable living environments for low-income families.

As stated previously, to assist with administering the Family COVID Assistance Grant program, the County has contracted with El Concilio, a community-based organization whose mission is "to empower the region's diverse low-income, minority and marginalized communities by providing critically needed comprehensive and compassionate programs and services".

In addition, the First 5 San Joaquin programs work with community organizations to serve those with significant barriers to services.

Labor Practices

At this time, San Joaquin County does not have any infrastructure projects outlined. Consideration is being given to potential water and sewer projects throughout the County. If any project is approved utilizing ARPA funding, San Joaquin County will detail how the project will use strong labor standards to promote effective and efficient delivery of high-quality infrastructure projects while also supporting the economic recovery through strong employment opportunities for workers.

Use of Evidence

The County has not committed any ARPA funds for any evidence-based interventions, programs, or experimental studies. Given the current portfolio of projects the County has established, combined with the goals and objectives the County has decided to pursue, we do not plan to expend any ARPA funds for this purpose.

All programs established within San Joaquin County will be evaluated. Results will demonstrate the success of the program and its intended outcome.

Table of Expenses by Expenditure Category

	Category	Cumulative expenditures to date ($)	Amount spent since last Recovery Plan
1	Expenditure Category: Public Health		
1.1	COVID-19 Vaccination		
1.2	COVID-19 Testing		
1.3	COVID-19 Contact Tracing		
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)		
1.5	Personal Protective Equipment		
1.6	Medical Expenses (including Alternative Care Facilities)		
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency		
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)		
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19		
1.10	Mental Health Services		
1.11	Substance Use Services		
1.12	Other Public Health Services		
2	Expenditure Category: Negative Economic Impacts		
2.1	Household Assistance: Food Programs		
2.2	Household Assistance: Rent, Mortgage, and Utility Aid		
2.3	Household Assistance: Cash Transfers		
2.4	Household Assistance: Internet Access Programs		
2.5	Household Assistance: Eviction Prevention		
2.6	Unemployment Benefits or Cash Assistance to Unemployed Workers		
2.7	Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)		
2.8	Contributions to UI Trust Funds*		
2.9	Small Business Economic Assistance (General)		
2.10	Aid to nonprofit organizations		
2.11	Aid to Tourism, Travel, or Hospitality		
2.12	Aid to Other Impacted Industries		
2.13	Other Economic Support		

	Category	Cumulative expenditures to date ($)	Amount spent since last Recovery Plan
2.14	Rehiring Public Sector Staff		
3	Expenditure Category: Services to Disproportionately Impacted Communities		
3.1	Education Assistance: Early Learning		
3.2	Education Assistance: Aid to High-Poverty Districts		
3.3	Education Assistance: Academic Services		
3.4	Education Assistance: Social, Emotional, and Mental Health Services		
3.5	Education Assistance: Other		
3.6	Healthy Childhood Environments: Child Care		
3.7	Healthy Childhood Environments: Home Visiting		
3.8	Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System		
3.9.	Healthy Childhood Environments: Other		
3.10	Housing Support: Affordable Housing		
3.11	Housing Support: Services for Unhoused persons		
3.12	Housing Support: Other Housing Assistance	222,500	222,500
3.13	Social Determinants of Health: Other		
3.14	Social Determinants of Health: Community Health Workers or Benefits Navigators		
3.15	Social Determinants of Health: Lead Remediation		
3.16	Social Determinants of Health: Community Violence Interventions		
4	Expenditure Category: Premium Pay		
4.1	Public Sector Employees		
4.2	Private Sector: Grants to other employers		
5	Expenditure Category: Infrastructure		
5.1	Clean Water: Centralized wastewater treatment		
5.2	Clean Water: Centralized wastewater collection and conveyance		
5.3	Clean Water: Decentralized wastewater		
5.4	Clean Water: Combined sewer overflows		
5.5	Clean Water: Other sewer infrastructure		
5.6	Clean Water: Stormwater		
5.7	Clean Water: Energy conservation		
5.8	Clean Water: Water conservation		
5.9	Clean Water: Nonpoint source		
5.10	Drinking water: Treatment		

	Category	Cumulative expenditures to date ($)	Amount spent since last Recovery Plan
5.11	Drinking water: Transmission & distribution		
5.12	Drinking water: Transmission & distribution: lead remediation		
5.13	Drinking water: Source		
5.14	Drinking water: Storage		
5.15	Drinking water: Other water infrastructure		
5.16	Broadband: "Last Mile" projects		
5.17	Broadband: Other projects		
6	Expenditure Category: Revenue Replacement		
6.1	Provision of Government Services		
7	Administrative and Other		
7.1	Administrative Expenses		
7.2	Evaluation and data analysis		
7.3	Transfers to Other Units of Government		
7.4	Transfers to Nonentitlement Units (States and Territories only)		

Project Inventory

Project Name: Landlord Incentives for Homeless Veterans
Funding amount: $222,500
Project Expenditure Category: EC 3.12, Services to Disproportionately Impacted
Communities - Housing Support: Other Housing Assistance

Project overview
Project expands the County's Landlord Incentive Program to incentivize landlords to accept homeless veterans utilizing United States Department of Housing and Urban Development Veterans Affairs Supportive Housing (HUD-VASH) program vouchers for a minimum of 30 households. Collaborating with the Housing Authority County of San Joaquin (HACSJ), funds will be provided for security deposits, unit repairs, utility assistance, rental application fees, vacancy loss coverage, and leasing incentive payment to HUD-VASH landlord participants to assist a minimum of 30 households in San Joaquin County. Additionally, HACSJ agrees to provide in-kind staff support in the form of a landlord liaison, a dedicated phone line set up to guarantee a response within one business day, online access to information related to inspections, Housing Assistance Payments and Contracts, two housing navigators to assist with eligibility, paperwork, support, assistance, and housing locator, and landlord workshops and training.

Approval to negotiate agreement with HACSJ was approved by the Board of Supervisors on July 13, 2021 and end on June 30, 2023, unless work is completed on a date prior thereto.

According to the San Joaquin County Homeless Management Information System, in 2020 there were 652 unduplicated veterans served County-wide through a broad range of interventions including emergency shelter, street outreach, and permanent supportive housing. On any given night there are approximately 140 homeless veterans living in shelters and on the streets of San Joaquin County.

The goal of the Landlord Incentives for Homeless Veterans Program is to expand rental opportunities for voucher holders by making landlord participation in the program more economically attractive, more feasible and as simple as possible. The program works to recruit new landlords and retain current landlords by providing new incentives and support systems that address potential risks that property owners may associate with leasing to homeless clients, while realigning staff and internal program management by HACSJ to make program participation easier. While financial-based incentives are an important strategy to help mitigate the real and perceived risks associated with leasing to homeless clients, nonfinancial incentives such as expanded staff support are of equal importance in promoting landlord participation.

On an annual basis, the County plans to survey participants and determine whether beneficiaries have improved their living arrangement by living in permanent supportive housing.

Additional Project
Project Name: Family COVID Assistance Grant Program – Round 1 & 2
Funding amount: $13,700,000
Project Expenditure Category: EC 2.2 Negative Economic Impacts – Household Assistance: Rent, Mortgage, and Utility Aid

Project overview

With the use of ARPA funds, this project will allow San Joaquin County to complete Round 1 and continue the grant for Round 2 to assist families with household incomes at or below 100% of the Area Median Income and have been negatively impacted by the COVID-19 pandemic. Up to $10,000 is available per family for past due (unpaid) rent, mortgage, and utilities, or reimbursement of paid rent, mortgage, and utilities during the documented period of financial harm due to the pandemic, with proper documentation.

Use of Evidence
The goal of this program is to provide relief to families struggling to pay for rent or mortgage, and utilities.

On a quarterly basis, the County plans to survey participants and determine whether beneficiaries have recovered economically from the assistance this program will provide.

Additional Project
Project Name: Small Business Grant Program – Round 4
Funding amount: $20,000,000
Project Expenditure Category: EC 2.9 Negative Economic Impacts – Small Business Economic Assistance (General)

Project overview

Round 4 of the Small Business Grant Program will launch on September 1, 2021. Utilizing $20.0 million of ARPA funds, this grant will provide small businesses (100 employees or less) with up to $100,000 for past due (unpaid) rent, mortgage and utilities, or reimbursement of paid rent, mortgage, utilities, and PPE during the documented period of financial harm due to the pandemic, with proper documentation.

Use of Evidence
The goal of this program is to provide relief to small businesses struggling to pay for rent or mortgage, utilities, and PPE.

On a quarterly basis, the County plans to survey participants and determine whether beneficiaries have recovered economically from the assistance this program will provide.

Additional Project
Project Name: Project Homekey Match Funding
Funding amount: $10,000,000
Project Expenditure Category: EC 3.10 Services to Disproportionately Impacted Communities –Housing Support: Affordable Housing

Project overview

$10.0 million of ARPA funds have been obligated as a set aside for a required match of funding for a Homekey project. If San Joaquin County, partnering with the Housing Authority of the County of San Joaquin (HACSJ), is successful in the application process, the County's match will bring in $30.0 million to support the development of 200 new units of housing in the County for those experiencing homelessness. HACSJ will acquire the property, secure an operator, and commit rental assistance to support the project application and ongoing operation.

Use of Evidence
The goal of this project is to increase support for affordable housing for those experiencing homelessness in the County.

On a semi-annual basis, the County will work the HACSJ to collect data on the success of the application and ongoing support for participants.

Performance Report

Key performance indicators:

Landlord Incentives for Homeless Veterans – Running metric of voucher holders. Obtain a run rate and determine how impactful voucher activity improves based on ARPA funds.

Family COVID Assistance Grant Program – Key indicators vary by participants. Items funded will be measured to determine how well issues funded will be remediated.

Small Business Grant Program – Key indicators vary by participants. Items funded will be measured to determine how well issues funded will be remediated.

Project Homekey Match Funding – How many new housing units were developed toward the 200 unit target.

San Joaquin County 2021 Recovery Plan Performance Report   9

No programs started through July 2021. Data including mandatory performance indicators and programmatic data will be reported once programs are in progress and have produced results.

Ineligible Activities: Tax Offset Provision (States and territories only)

N/A